



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rodriguez et al.

Serial No.: 09/329,461

Filed: June 10, 1999

For: Method and Apparatus for
Encoding Transactions for Goods and
Services Using an E-Receipt

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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GROUP 3600

§ Group Art Unit: 3625
§
§ Examiner: Nguyen, Cuong H.
§
§ Attorney Docket No.: AT9-99-085
§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 9, 2004.

By: Dell Whilton
Dell Whilton

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AD; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

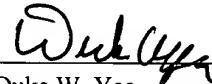
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: January 8, 2004



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Form PTO-1449

ATTORNEY DOCKET NO.

SERIAL NO.
09/329,461

LIST OF PRIOR ART CITED
BY APPLICANT
(Use several sheets if necessary)

AT9-99-085

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GROUP 360

APPLICANT Rodriguez et al.

FILING DATE June 10, 1999

GROUP ART UNIT 3625

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
AA	6,032,857	Mar. 7, 2000	Kitagawa et al.	235/379	Feb. 27, 1997

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
AB	JP 09-237298	Sep. 9, 1997	Japan	G06F 19/00	X
AC	JP 11-143953	May 28, 1999	Japan	G06F 17/60	X
AD	JP 11/232361	Aug. 27, 1999	Japan	G06F 19/00	X

OTHER PRIOR ART (Including author, title, date, pertinent page, etc.)

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
DATE CONSIDERED			EXAMINER	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.